Appendix B – Submittal List

APPENDIX B - SUBMITTAL LIST

	SUBMITTAL TYPE	SUBMITTALS FOR REVIEW	FOR INFORMATION	REFERENCE(S)	NOTES
Notices	Change in Insurance	No	Yes	D11.6	30 Calendar Days written notice.
	COVID-19 Schedule Delays	No	Yes	D20.4	7 Calendar Days from becoming aware of delay.
	Hazardous Material	No	Yes	E2.1	Immediately.
	SLAIF Ground Disturbances	No	Yes	E14.2	2 weeks prior.
Commencement	Workers Compensation Coverage	No	Yes	C6.17 D15.2(a)(ii)	Prior to commencement of Work.
	Authority to Carry on Business	No	Yes	D9.1 D15.2(a)(i)	Prior to commencement of Work.
	Safe Work Plan	Yes	No	D10.2	5 Business Days prior to commencement of Work.
	GWWD Railway Waiver Forms and Personal Information	No	Yes	D11.2(e) E4.3.2(d) E4.4.2(c) Appendix N	Prior to use of GWWD Railway.
	Project Experience and Project Claim History	No	Yes	D11.4	3 Business Days after notice of award.
	Certificate of Insurance	No	Yes	D11.5	2 Business Days prior to commencement of Work.
	Contract Security	No	Yes	D12.2	7 Calendar Day after notice of award.
	Subcontractor List	Yes	No	D13.1	2 Business Days prior to commencement of Work.
	Detailed Work Schedule	Yes	No	D14.1	2 Business Days prior to commencement of Work.
	Submittal Schedule	Yes	No	D14.7 01 33 00	2 Business Days prior to commencement of Work.
	Security Clearance	Yes	No	D15.2(a) (ix) F1.1	Prior to undertaking Work on Site.
	COVID-19 Schedule Delays	No	Yes	D20.3	7 Calendar Days prior to the commencement of Work.
	GWWD Railway and Staffhouse Schedule	Yes	No	E4.3.2(b)	4 weeks prior to commencement of Work at SLAIF.
	Functional Requirement Specification	Yes	No	E8.1.5 E8.2.2	Prior to commencement of programming.
	Shop Drawings Product Data Certificates Product Data Sheets Samples WHIMIS SDS	Yes	No	01 01 33 00 07 84 00 09 91 00.08 26 05 00 26 05 21 26 05 28 26 05 29 26 05 31 26 05 34 26 05 36 26 09 23.01 26 18 39.01 26 22 19 26 24 17 26 28 21 26 29 03 29 05 00 29 10 01	Prior to commencement of Work at each Facility.

	SUBMITTAL TYPE	SUBMITTALS FOR REVIEW	FOR INFORMATION	REFERENCE(S)	NOTES
				29 15 01 29 30 11 29 40 01 29 40 51 29 40 52 29 50 01 Appendix A	
Mobilization and Demobilization	Site Conditions Report	Yes	No	E3.1.2 E3.2.2	Upon demobilization.
	Site Laydown Plan	Yes	No	E3.2.3	10 Business Days prior to mobilization.
Work Plan (weekly)	Upcoming and Outstanding Submittals	Yes	No	E6.2(a)	Provide weekly.
	Updated Detailed Work Schedule and Submittal Schedule	Yes	No	D14.9 E6.2(b) 01 33 00	Provide weekly.
	Upcoming City Forces	Yes	No	E6.2 (c)	Within the next 4 weeks.
	Upcoming Coordination with Work by Others	Yes	No	D21 E6.2(d)	Within the next 4 weeks.
	Revision of GWWD Railway Schedule	Yes	No	E4.3.2(c) E6.2(e)	Within the next 4 weeks
	Planned FAT	Yes	No	E6.2(f) E9	Within the next 4 weeks.
	Planned Shutdowns and/or Commissioning	Yes	No	E6.2(g) E10	Within the next 4 weeks.
	Planned Training	Yes	No	E6.2(h) E11	Within the next 4 weeks.
	Upcoming Ground SLAIF Disturbances	Yes	No	E6.2(i) E14	Within the next 2 weeks.
	Detailed Description of Select Work	Yes	No	E6.2(j)	Upon request.
	Design Revisions	Yes	No	E6.2(k)	As required
	Demolition Schedule	Yes	No	E6.2(l) 01 73 00 26 05 05	2 weeks prior to scheduled demolition.
	Asbestos Removal / Disposal Plan	Yes	No	E2 E6.2(m) 02 82 00.01 02 82 00.02	2 weeks prior to scheduled asbestos removal and disposal.
FAT	FAT Plan by Facility	Yes	No	E9.2.2 E9.3	6 weeks prior to scheduled FAT.
	Completion Certificates by Facility	Yes	No	E9.2.3 E9.4	Within 2 weeks of successful conclusion of FAT.
	Facility Simulation System Software	Yes	No	E9.2.4 E9.6	Within 2 weeks of Substantial Performance
Installation	Air Monitoring Results	Yes	No	02 82 00.01 02 82 00.02	Provide daily during asbestos abatement.
	Field Quality Test Reports	Yes	No	26 05 00 29 05 00 29 15 01	During installation of Work.
	Manufacturer's Field Reports	Yes	No	26 05 00	During installation of Work.
	Lamacoid Nameplate List	Yes	No	26 05 00 29 05 00	Prior to installation of Work.
	Site Acceptance Reports	Yes	No	26 05 43.01	During installation of Work.
	Manufacturer's Test Certificates	No	Yes	26 18 39.01	During installation of Work.
	Loop Tests	Yes	No	29 05 00 29 40 51 29 40 52	During installation of Work.
	Testing and Calibration	No	Yes	29 05 00	During installation of Work.

	SUBMITTAL TYPE	SUBMITTALS FOR REVIEW	FOR INFORMATION	REFERENCE(S)	NOTES
Commissioning	Commissioning Plan by Facility	Yes	No	E10.2.2 E10.3	4 weeks prior to schedule commissioning.
	Start-up Checklists by Facility	Yes	No	E10.2.3 E10.5	4 weeks prior to start-up at facility.
	Completed Start-up Checklists by Facility	Yes	No	E10.2.4 E10.5	5 Calendar Days prior to commissioning.
	Commissioning Records by Facility	Yes	No	E10.2.5 E10.6.6 E10.6.7 E13.1.3(e)	Inclusion in the draft facility closeout O&M Manuals.
	Request for City Certified Operator (off hours)	No	Yes	E10.4.3	1 week prior.
Training	Draft Training Plan by Facility	Yes	No	E11.2.2 E11.4	6 weeks prior to delivery of training.
	Final Training Plan by Facility	Yes	No	E11.2.3 E11.4	2 weeks prior to delivery of training
	Training Instructor Qualifications by Training Component	Yes	No	E11.2.4	8 weeks prior to delivery of training.
	Training Session Video by Facility	Yes	No	E11.2.5 E11.5	3 weeks following the training session.
Warranty Services	Contact Information	No	Yes	E12.1(e)	Prior to commissioning for the first the facility.
	Warranty Register	No	Yes	E12.2.1	Provide monthly and upon request.
Facility Closeout	Revised Draft Functional Requirement Specification by Facility	Yes	No	E8.1.5 E8.2.3(a) E13.1.3(d)	Inclusion in the draft facility closeout O&M Manuals.
	Commissioning Records by Facility	Yes	No	E10.2.5 E10.6.6 E10.6.7 E13.1.3(e)	Inclusion in the draft facility closeout O&M Manuals.
	Draft As-Built Drawings by Facility	Yes	No	E13.1.3(a) 01 78 00	2 weeks after facility closeout.
	Permits by Facility	Yes	No	E13.1.3(b) 01 78 00 26 05 00 29 05 00	Inclusion in the draft facility closeout O&M Manuals
	Digital files of the PLC and SCADA Programming by Facility	Yes	No	E13.1.3(c) Division 29 Appendix C	Prior to final inspection of the facility.
	Draft O&M Manuals by Facility	Yes	No	E13.1.3 (f) 01 78 00 26 05 00 26 05 43.01 26 18 39.01 26 22 19 26 29 03 29 05 00 29 40 01 29 40 51 29 40 52 29 50 01	2 weeks prior to facility closeout.
	Shop Drawings Product Data - Manufacturers' Instructions/WHIMIS SDS by Facility	Yes	No	01 78 00 07 84 00 09 91 00.08 26 05 00 26 05 21 26 05 28 26 05 29 26 05 31 26 05 34 26 05 36	Inclusion in the draft facility closeout O&M Manuals.

	SUBMITTAL TYPE	SUBMITTALS FOR REVIEW	FOR INFORMATION	REFERENCE(S)	NOTES
				26 09 23.01 26 18 39.01 26 22 19 26 24 17 26 28 21 26 29 03 29 05 00 29 10 01 29 15 01 29 30 11 29 40 01 29 40 51 29 40 52 29 50 01 Appendix A	
Project Closeout	Final O&M Manuals (with all Facilities)	Yes	No	E13.2.1(c)(i) 01 78 00 26 05 00 26 05 43.01 26 09 23.01 26 18 39.01 26 22 19 26 24 17 26 29 03 29 05 00 29 30 11 29 40 01 29 40 51 29 40 52 29 50 01	4 weeks prior to Substantial Performance.
	Final Functional Requirement Specification	Yes	No	E8.1.5 E8.2.3(b) E13.2.1(c)(ii)	Inclusion in the final O&M Manuals.
	Final As-Built Drawings	Yes	No	E13.2.2(b)(i) 01 78 00	prior to Total Performance
	Digital files of the PLC and SCADA Programming	Yes	No	E13.2.2(b)(ii)	prior to Total Performance

Notes:

- This Appendix B – Submittal List is intended to provide a summary of the Contract submittals noted throughout the Contract Documents. However, this Appendix B – Submittal List is for informational purposes only and the Contractor shall be responsible to conform to the requirements of the Contract Documents. In the case of a discrepancy between this Appendix B – Submittal List and the Contract Documents, the Contract Documents shall govern.
- For clarity, this Appendix B – Submittal List does not capture any documentation or submittals required to be submitted by the Bidder in accordance with Part B – Bidding Procedures of the Tender.
- Some capitalization included in this Appendix B – Submittal List is not in agreement with the defined terms as set out in the Tender. Refer to D4 for the list of defined terms.